

American Rescue Plan Act (ARPA) Report

October 10, 2021

Updated January 10, 2022 (including cumulative HEERF I, II, and III information)

Final Report: April 6, 2022 (including cumulative HEERF I, II, and III information)

In response to the COVID-19 pandemic, Congress passed the American Rescue Plan Act (ARPA), and it was subsequently signed by the President of the United States. Colleges and universities which received emergency grants from this legislation are required to periodically report on the items listed below in bold print. Faulkner University's responses are listed immediately below each requirement.

1. An acknowledgement that the institution signed and returned to the Department the Certification and Agreement and the assurance that the institution has used the applicable amount of funds designated under the ARPA program to provide Emergency Financial Aid Grants to students.

Under the terms of the Supplemental Grant Funds for Students Agreement, Faulkner University agreed to be bound by the conditions set forth in that agreement by drawing down the grant funds. The University had previously signed and submitted the Recipient's Funding Certification and Agreement under HEERF I for both the Emergency Financial Aid Grants to Students under the Coronavirus Aid, Relief, and Economic Security (CARES) Act, as well as the Institutional Portion of the Higher Education Emergency Relief Fund Formula Grants Authorized by Section 18004(a)(1) of the CARES Act. The certification and agreement for the Emergency Financial Aid Grants to Students and the Institutional Portion for the CARES Act were signed and submitted to the Department of Education, via grants.gov, on April 21, 2020 and April 22, 2020, respectively.

2. The total amount of funds that the institution will receive or has received from the Department pursuant to the institution's Certification and Agreement for Emergency Financial Aid Grants to Students under ARPA.

The Student Aid portion allocated to Faulkner University under ARP section 2003(a)(1) of ARPA was \$3,903,628.

Cumulative Student Aid funds under HEERF I, II, and III

The cumulative Student Aid portions allocated to Faulkner University under HEERF I, II, and III totaled \$6,326,606.

3. The total amount of Emergency Financial Aid Grants distributed to students under the ARPA program as of the date of submission (i.e., as of the initial report and every calendar quarter thereafter).

Phase 1 - July 2021

Faulkner University disbursed \$742,100 in Emergency Financial Aid Grants to students under ARPA in July 2021.

Phase 2 - September 2021

Faulkner University disbursed \$1,656,840 in Emergency Financial Aid Grants to students under ARPA in September 2021.

As of September 30, 2021, a total of \$2,398,940 has been disbursed in Emergency Financial Aid Grants to students under ARPA.

Faulkner University disbursed \$1,200 in an Emergency Financial Aid Grant under ARPA in October 2021.

As of December 31, 2021, a total of \$2,400,140 has been disbursed in Emergency Financial Aid Grants to students under ARPA.

Cumulative Emergency Financial Aid Grants disbursed under HEERF I, II, and III

As of December 31, 2021, a total of \$4,825,679 has been disbursed in Emergency Financial Aid Grants to students under HEERF I, II, and III.

Phase 3 - March 2022

Faulkner University disbursed \$1,503,495 in Emergency Financial Aid Grants to students under ARPA in March 2022.

As of March 31, 2022, a total of \$3,903,635 has been disbursed in Emergency Financial Aid Grants to students under ARPA.

Cumulative Emergency Financial Aid Grants disbursed under HEERF I, II, and III

As of March 31, 2022, a total of \$6,329,174 has been disbursed in Emergency Financial Aid Grants to students under HEERF I, II, and III.

4. The estimated total number of students at the institution that are eligible to receive Emergency Financial Aid Grants to students under the ARPA program.

Phase 1 - July 2021

Faulkner University estimates that 1,693 students at the institution were eligible to receive Emergency Financial Aid Grants under the ARPA program.

Phase 2 - September 2021

Faulkner University estimates that 2,816 students at the institution were eligible to receive Emergency Financial Aid Grants under the ARPA program.

As of September 30, 2021, Faulkner University estimates that 3,195 individual students were eligible to receive Emergency Financial Aid Grants under the ARPA program. Some were eligible for grants in both the summer and fall semesters.

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Cumulative estimated number of students eligible to receive Emergency Financial Aid Grants under HEERF I, II, and III

As of December 31, 2021, Faulkner University estimates that 4,102 unduplicated students were eligible to receive Emergency Financial Aid Grants under HEERF I, II, and III.

Phase 3 - March 2022

As of March 31, 2022, Faulkner University estimates that 3,508 individual students were eligible to receive Emergency Financial Aid Grants under the ARPA program. Some students were eligible for grants in more than one semester.

Cumulative estimated number of students eligible to receive Emergency Financial Aid Grants under HEERF I, II, and III

As of March 31, 2022, Faulkner University estimates that 4,664 unduplicated students were eligible to receive Emergency Financial Aid Grants under HEERF I, II, and III.

5. The total number of students who have received an Emergency Financial Aid Grant under ARPA.

Phase 1 - July 2021

A total of 957 students received Emergency Financial Aid Grants under ARPA at Faulkner University in July 2021.

Phase 2 - September 2021

A total of 1,590 students received Emergency Financial Aid Grants under ARPA at Faulkner University in September 2021.

As of September 30, 2021, a total of 1,906 individual students have received Emergency Financial Aid Grants under ARPA. Some students received awards in both the summer and fall semesters.

One student received an Emergency Financial Aid Grant under ARPA at Faulkner University in October 2021.

As of December 31, 2021, a total of 1,907 individual students have received Emergency Financial Aid Grants under ARPA. Some students received awards in both the summer and fall semesters.

Cumulative number of students who received an Emergency Financial Aid Grant under HEERF I, II, and III

As of December 31, 2021, a total of 2,940 unduplicated students have received Emergency Financial Aid Grants under HEERF I, II, and III.

Phase 3 - March 2022

A total of 1,538 students received Emergency Financial Aid Grants in March 2022 under ARPA. Some students received awards in more than one semester.

As of March 31, 2022, a total of 2,185 individual students have received Emergency Financial Aid Grants under ARPA. Some students received grants in more than one semester.

Cumulative number of students who received an Emergency Financial Aid Grant under HEERF I, II, and III

As of March 31, 2022, a total of 3,181 unduplicated students have received Emergency Financial Aid Grants under HEERF I, II, and III.

6. The method(s) used by the institution to determine which students receive Emergency Financial Aid Grants and how much they would receive under ARPA.

Phase 1 - July 2021

To satisfy requirements for an Emergency Financial Aid Grant under the ARPA program at Faulkner University, a student is required, at minimum, to meet the following criteria:

- Must have been enrolled in the Summer 2021 semester for at least one class as of July 7, 2021
- Students who withdrew from all classes not included
- Must have a 2020-2021 FAFSA on file in the Financial Aid Office with an EFC of 5711 or lower

A total of 957 students were determined to have met the requirements to receive a grant. Once the list of eligible students was completed, a matrix was designed to determine the dollar amount an eligible student would receive. The student's EFC was used, along with enrollment status (full time, three quarter time, half time, or less than half time), to determine the grant amount for each student. The higher the need, based on EFC, the greater the award. Grants ranged from \$1,000 for students enrolled full time with the highest need down to \$100 for students enrolled less than half time with the lowest need. The matrix utilized is provided below.

EFC Range		Full Time	Three Quarter Time	Half Time	Less Than Half Time
0	1500	1,000	750	500	250
1501	3000	900	650	400	200
3001	4500	800	550	300	150
4501	5711	700	450	200	100

Phase 2 - September 2021

To satisfy requirements for an Emergency Financial Aid Grant under the ARPA program at Faulkner University, a student is required, at minimum, to meet the following criteria:

- Must have been enrolled in the Fall 2021 semester for at least one class as of September 13, 2021
- Students who withdrew from all classes not included
- Must have a 2020-2021 FAFSA on file in the Financial Aid Office with an EFC of 8000 or lower
- International and undocumented students included and provided grants as if they had an EFC of 8000

A total of 1,590 students were determined to have met the requirements to receive a grant in Phase 2. Once the list of eligible students was completed, a matrix was designed to determine the dollar amount an eligible student would receive. The student's EFC was used, along with enrollment status (full-time, three quarter time, half-time, or less than half-time), to determine the grant amount for each student. The higher the need, based on EFC, the greater the award. Grants ranged from \$1,200 for students enrolled full-time with the highest need down to \$120 for students enrolled less than half-time with the lowest need. The matrix utilized is provided below.

EFC Range		Full Time	Three Quarter Time	Half Time	Less Than Half Time
0	2000	1,200	900	600	300
2001	4000	1,080	780	480	240
4001	6000	960	660	360	180
6001	8000	840	540	240	120

In October 2021, it was determined that one additional student had met the requirements to receive a grant in Phase 2 bringing the total to 1,591 for Phase 2.

Phase 3 - March 2022

To satisfy requirements for an Emergency Financial Aid Grant under the ARPA program at Faulkner University, a student is required, at minimum, to meet the following criteria:

- Must have been enrolled in the Spring 2022 semester for at least one class as of March 22, 2022
- Students who withdrew from all classes not included
- Must have a 2020-2021 FAFSA on file in the Financial Aid Office with an EFC of 8000 or lower

- International and undocumented students included and provided grants as if they had an EFC of 8000

A total of 1,539 students were determined to have met the requirements to receive a grant in Phase 3, however, one eligible student declined the funds leaving 1,538. Once the list of eligible students was completed, a matrix was designed to determine the dollar amount each student would receive. The student's EFC was used, along with enrollment status (full-time, three quarter time, half- time, or less than half-time), to determine the grant amount for each student. The higher the need, based on EFC, the greater the award. Grants ranged from \$1,175 for students enrolled full-time with the highest need down to \$130 for students enrolled less than half-time with the lowest need. The matrix utilized is provided below.

EFC Range		Full Time	Three Quarter Time	Half Time	Less Than Half Time
0	2000	1,175	850	600	300
2001	4000	1,050	750	460	250
4001	6000	900	650	350	190
6001	8000	800	550	250	130

7. Any instructions, directions, or guidance provided by the institution to students concerning the Emergency Financial Aid Grants.

Students who met the established criteria to receive an Emergency Financial Aid Grant under ARPA received the following email with a click-through link to a survey.

Phase 1 - July 2021



5345 Atlanta Highway
Montgomery, Al 36109

July 15, 2021

Student Name
Address
City, State Zip

Dear Student,

In response to the COVID-19 pandemic, Congress passed the Higher Education Emergency Relief Fund III (HEERF III) as authorized by the American Rescue Plan (ARP). Certain funds are being distributed to support institutions of higher education to serve students and ensure learning continues during the COVID-19 pandemic. Based on current guidance from the U.S. Department of Education, students with the greatest need are to be prioritized with these awards. Our records indicate you have met the requirements to receive an emergency financial aid grant from these funds.

Please note that different colleges and universities received varying amounts of money to be used as grants and to be distributed in accordance with their discretion. This means you may receive a grant which is greater or lesser than your fellow Faulkner students, and you may receive a greater or lesser award than students at other colleges and universities.

As part of HEERF III, students have the option to apply this emergency financial aid grant to their Student Accounts Balance. Please complete a very brief survey by July 23 telling us how to process your grant by [clicking here](#). Anyone eligible who does not respond by the above date will receive their funds by direct deposit or check, as determined by the Student Accounts Office.

It is our intent to begin distributing funds immediately after the July 23 deadline has passed. Students are encouraged to refrain from contacting our offices regarding these funds as it will

potentially cause delays in their distribution. Thank you for your prompt reply and consideration in this matter.

Regards,

Faulkner University Staff



FAULKNER UNIVERSITY

HEERF III Emergency Grant

To help us get the student emergency financial aid grant allocated to you as quickly as possible, we are asking that you complete the following survey indicating how you would like to receive the funds. Please complete by July 23, 2021.

csimmons@faulkner.edu [Switch account](#)



* Required

Email *

Your email

Full Name *

Your answer

ID Number *

Your answer

Faulkner Email Address *

Your answer

How would you like to receive the funds? *

- Please apply any funds, for which I am eligible, to any outstanding tuition, fees, housing, meal plan, or related charges on my Student Account.
- Check (please make sure your mailing address is current with the Student Accounts Office)
- Direct Deposit

Phase 2 - September 2021



5345 Atlanta Highway
Montgomery, Al 36109

September 19, 2021

Student Name
Address
City, State Zip

Dear Student,

In response to the COVID-19 pandemic, Congress passed the Higher Education Emergency Relief Fund III (HEERF III) as authorized by the American Rescue Plan (ARP). Certain funds are being distributed to support institutions of higher education to serve students and ensure learning continues during the COVID-19 pandemic. Based on current guidance from the U.S. Department of Education, students with the greatest need are to be prioritized with these awards. Our records indicate you have met the requirements to receive an emergency financial aid grant from these funds.

Please note that different colleges and universities received varying amounts of money to be used as grants and to be distributed in accordance with their discretion. This means you may receive a grant which is greater or lesser than your fellow Faulkner students, and you may receive a greater or lesser award than students at other colleges and universities.

As part of HEERF III, students have the option to apply this emergency financial aid grant to their Student Accounts Balance. As of September 19, 2021, you owe \$XXXX.XX. Please complete a very brief survey by September 26 telling us how to process your grant by [clicking here](#). Anyone eligible who does not respond by the above date will receive their funds by direct deposit or check, as determined by the Student Accounts Office. If you haven't signed up for direct deposit, you may do so by clicking on this [direct deposit link](#).

It is our intent to begin distributing funds immediately after the September 26 deadline has passed. Please direct any questions or concerns to studentaccounts@faulkner.edu. Thank you

for your prompt reply and consideration in this matter.

Regards,

Student Accounts Office



FAULKNER UNIVERSITY

HEERF III Emergency Grant

To help us get the student emergency financial aid grant allocated to you as quickly as possible, we are asking that you complete the following survey indicating how you would like to receive the funds. Please complete by September 26, 2021.

Email *

Valid email

This form is collecting emails. [Change settings](#)

Full Name *

Short answer text

ID Number *

Short answer text

Faulkner Email Address *

Short answer text

How would you like to receive the funds? *

- Please apply any funds, for which I am eligible, to any outstanding tuition, fees, housing, meal plan, or relat...
- Check (please make sure your mailing address is current with the Student Accounts Office)
- Direct Deposit

Phase 3 - March 2022



«inst_street1»
«inst_city», «inst_state» «inst_zip»

«ltr_date»

«First_Name» «middle_init». «Last_Name»
«Street1»
«Street2»
«City», «state» «zip»

Dear «First_Name»,

In response to the COVID-19 pandemic, Congress passed the Higher Education Emergency Relief Fund III (HEERF III) as authorized by the American Rescue Plan (ARP). Certain funds are being distributed to support institutions of higher education to serve students and ensure learning continues during the COVID-19 pandemic. Based on current guidance from the U.S. Department of Education, students with the greatest need are to be prioritized with these awards. Our records indicate you have met the requirements to receive an emergency financial aid grant from these funds.

Please note that different colleges and universities received varying amounts of money to be used as grants and to be distributed in accordance with their discretion. This means you may receive a grant which is greater or lesser than your fellow Faulkner students, and you may receive a greater or lesser award than students at other colleges and universities.

As part of HEERF III, students have the option to apply this emergency financial aid grant to their Student Accounts Balance. Please complete a very brief survey by **March 27** telling us how to process your grant by [clicking here](#). Anyone eligible who does not respond by the above date will receive their funds by direct deposit or check, as determined by the Student Accounts Office. If you haven't signed up for direct deposit, you may do so by clicking on this [direct deposit link](#).

Refunds will be processed as quickly as possible. Please direct any questions or concerns to studentaccounts@faulkner.edu. Thank you for your prompt reply and consideration in this matter.

Regards,
Student Accounts Office



FAULKNER UNIVERSITY

HEERF III Emergency Grant

To help us get the student emergency financial aid grant allocated to you as quickly as possible, we are asking that you complete the following survey indicating how you would like to receive the funds. Please complete by March 27, 2022.

* Required

1. Email *

2. Full Name *

3. ID Number *

4. Faulkner Email Address *

5. How would you like to receive the funds? *

Mark only one oval.

Please apply any funds, for which I am eligible, to any outstanding tuition, fees, housing, meal plan, or related charges on my Student Account.

Check (please make sure your mailing address is current with the Student Accounts Office)

Direct Deposit

